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SECURITIES COMMISSIONER FOR THE
STATE OF DELAWARE
NOV 22 2010

BEFORE THE SECURITIES COMMISSIONER
FOR THE STATE OF DELAWARE

IN THE MATTER OF:)
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)
)
 THOMAS A. GUIDO,) CASE NO. 09-01-01
 CRD # 2071829)
 Respondent.)

CONSENT ORDER

WHEREAS, on February 12, 2010, the Delaware Division of Securities ("Division") filed a complaint against Thomas A. Guido ("Respondent") alleging that he engaged in conduct in violation of the Delaware Securities Act with respect to an account maintained by one of his customers; and

WHEREAS, on March 25, 2010, Respondent filed an Answer denying that he violated any provision of the Delaware Securities Act and requesting a hearing to determine the facts regarding the allegations in the Complaint; and

WHEREAS, the Division has determined that Respondent engaged in dishonest and unethical conduct in violation of 6 Del.C. § 7316(a)(7) by engaging in excessive trading in customer accounts prohibited by Rule 609(b)(2); and

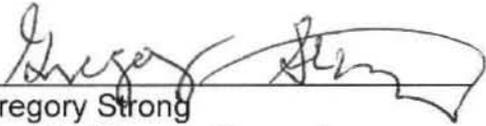
WHEREAS, Respondent and the Division wish to resolve this matter amicably without the expense and delay that formal administrative proceedings would involve;

NOW THEREFORE, PURSUANT TO THE CONSENT OF THE DIVISION AND RESPONDENT, IT IS HEREBY ORDERED as follows:

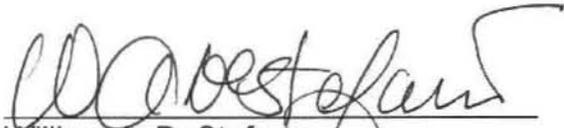
1. The Respondent's application for registration as a broker dealer agent in the State of Delaware submitted on December 8, 2008 is hereby deemed

withdrawn without prejudice to file a new application for registration pursuant to the provisions of Paragraph 2 of this Consent Order.

2. The Respondent is barred from submitting an application for registration in any capacity under the Delaware Securities Act for a period of five (5) years from the date of this Order and the Division agrees that it will not seek to deny any such new application solely on the basis of the matters alleged in the Complaint filed by the Division on February 12, 2010.
3. The Respondent agrees that any future registration obtained in any capacity under the Delaware Securities Act shall be subject to the following conditions:
 - a. Respondent shall provide the Division with quarterly reports evidencing the Respondent's compliance with the provisions of the Delaware Securities Act for a period of three (3) years following any such registration; and
 - b. The Division maintains jurisdiction over Respondent and this proceeding for the purposes of monitoring continued compliance with the provisions herein.
4. Respondent acknowledges that his failure to fully comply with the provisions of this Order constitutes a sufficient independent legal ground upon which the Division may initiate further administrative proceedings pursuant to the provisions of the Delaware Securities Act.

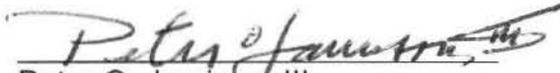


Gregory Strong
Deputy Attorney General
Department of Justice
State Office Building, 5th Flr.
820 N. French Street
Wilmington, DE 19801



William A. DeStefano
Buchanan Ingersoll & Rooney PC
Two Liberty Place
50 S. 16th Street, Suite 3200
Philadelphia, PA 19102-2555
Counsel for Respondent

SO ORDERED this *12th* day of *November*, 2010.



Peter O. Jamison, III
Securities Commissioner for the
State of Delaware